

jc970 U.S. PTC

Please type a plus sign (+) inside this box →

PTO/SB/05 (1/98)
Approved for use through 9/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	583-1041
First Inventor or Application Identifier	Nigel L. Bragg
Title	COMMUNICATIONS SYSTEM, APPARATUS AND METHOD
Express Mail Label No.	EL 700 384 199 65

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
2. ☒ Specification (Total Pages)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfilm Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets)
4. Oath or Declaration (Total Pages)
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
- i. ☐ ~~DELETION OF INVENTOR(S)~~
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (*Appendix*)
7. Nucleotide and/or Amino Acid Sequence Submission
(*if applicable, all necessary*)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,
(PTO/SB99-12) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

Prior application information: Examiner _____ Group / Art Unit _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	William M. Lee, Jr.
	Lee, Mann, Smith, McWilliams, Sweeney & Ohlson

Address

PO Box 2786

City

Chicago

Coun

USA

4

--	--

Name (Print/Type)

William M. Lee, Jr.

Registration No. (attorney/ gent)

26.935

Signature _____

AD 111

Date _____

November 27, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$1,030.00)

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Nigel L. Bragg
Examiner Name	
Group Art Unit	
Attorney Docket No.	583-1041

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 12-0913
Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)		
101	710	201	355		Utility filing fee	710
106	320	206	160		Design filing fee	
107	490	207	245		Plant filing fee	
108	710	208	355		Reissue filing fee	
114	150	214	75		Provisional filing fee	

SUBTOTAL (1) (\$ 710)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20	-20** =	
Multiple Dependent Claims	7	-3** = 4	320

Large Entity Small Entity	Fee	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	
103	18	203	9		Claims in excess of 20
102	80	202	40		Independent claims in excess of 3
104	270	204	135		Multiple dependent claim, if not paid
109	80	209	40		** Reissue independent claims over original patent
110	18	210	9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 320)

**for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)		
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for ex parte reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	390	216	195		Extension for reply within second month	
117	890	217	445		Extension for reply within third month	
118	1,390	218	695		Extension for reply within fourth month	
128	1,890	228	945		Extension for reply within fifth month	
119	310	219	155		Notice of Appeal	
120	310	220	155		Filing a brief in support of an appeal	
121	270	221	135		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1,240	241	620		Petition to revive - unintentional	
142	1,240	242	620		Utility issue fee (or reissue)	
143	440	243	220		Design issue fee	
144	600	244	300		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	50	123	50		Petitions related to provisional applications	
126	240	126	240		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355		For each additional invention to be examined (37 CFR § 1.128(b))	
179	710	270	355		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

SUBMITTED BY

Name (Print/Type) William M. Lee, Jr.
Signature

Registration No. 26,935
Attorney/Agent

Complete (if applicable)

Telephone 312-368-1300
Date Nov. 27, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

004211 7102260
JCS13 U.S. PAT. 09/23017
11/27/00

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICATION OF

NIGEL L. BRAGG

FOR LETTERS PATENT FOR

COMMUNICATIONS SYSTEM, APPARATUS AND METHOD THEREFOR

William M. Lee, Jr.
Registration No. 26,935
Lee, Mann, Smith, McWilliams, Sweeney & Ohlson
P.O. Box 2786
Chicago, Illinois 60690-2786

312-368-1300 Telephone
312-368-6620 Direct Line
312-368-0034 Facsimile
E-Mail: WLEE@INTELPRO.COM

09723017.112700

COMMUNICATIONS SYSTEM, APPARATUS AND METHOD THEREFOR

Background to the Invention

- 5 The present invention relates to a communications system and a host apparatus, for example, of the type used in an Internet Protocol (IP) network having a hierarchically arranged addressing scheme. The present invention also relates to a method of ascertaining an available return route.

10 Summary of the Prior Art

- The Internet is a network of computer networks capable of allowing a first node attached to the network of computer networks to communicate with a second node attached to the network of computer networks, nodes being defined herein
15 as including hosts or routers. The Internet was conceived by the Advanced Projects Research Agency (APRA) of the US Government in 1969 as a network to allow users of a research computer at one university to be able to "talk to" research computers at other universities; the network was known as the Advanced Projects Research Agency Network. The APRA Network was
20 capable of routing or re-routing messages in more than one direction and so was able to continue functioning even if parts of the APRA network were destroyed, for example, as a result of a military attack or other disaster.

- Presently, the Internet is public and is used by a large number of users
25 throughout the world, the interconnection of the computer networks forming the Internet being supported by resources of current public telecommunications systems worldwide.

- As is known in the art, the Internet employs, inter alia, an IP Layer and a
30 Transmission Control Protocol (TCP) Layer. The Internet comprises a plurality of domains, each domain having associated therewith a set of Internet Protocol (IP) addresses. The domains are hierarchically arranged into tiers including a first tier comprising a number of domains that, together, form a default-free

09723017.1/2700

- zone. In the default-free zone, packets processed by the first tier domains must not be lost, i.e. packets entering the first tier must be routed with integrity within the first tier up to a point where the packets leave the default-free zone. In this respect, the domains forming the first tier are interconnected in such a way so
- 5 as to ensure that failure of one, or more, connections between domains in the first tier will not result in packets not reaching their respective destinations within the first tier.

- From an addressing perspective, a domain is identifiable by a domain name, for
- 10 example, ServiceProvider.net, the domain name corresponding to a pool of IP addresses allocated to the domain. An administrator of the domain is responsible for allocation of the IP addresses to individual entities within the domain from the pool of IP addresses allocated to the domain.
- 15 In Version 4 of the IP (IPv4), allocation of IP addresses to domains is without regard to network topology and has resulted in a need for domains in the first tier to maintain large routing tables. In order to reduce the size of the routing tables, Version 6 of the IP (IPv6) employs a provider addressing scheme. An IPv6 address is a 128-bit address comprising eight 16-bit "pieces" commonly
- 20 separated by colons ("."), i.e. x:x:x:x:x:x:x:x, where x is a 16-bit piece of the IPv6 IP address. As is disclosed in the Internet Engineering Task Force (IETF) document entitled "An IPv6 Aggregatable Global Unicast Format" (Request for Comments [RFC] 2374, July 1998), the provider addressing scheme constrains allocation of a number of the pieces of the IPv6 IP address to certain domains in
- 25 the Internet in accordance with respective positions of the certain domains within the hierarchy of domains forming the Internet. Specifically, a first domain connected, at the IP layer, to a second domain hierarchically above the first domain is constrained to use a set of IP addresses which are a subset of IPv6 IP addresses allocated to the second domain. Consequently, for example, the first
- 30 16-bit piece of the IPv6 IP address (hereinafter referred to as the "IP address") is reserved for the number of domains forming the first tier of the Internet. A dependent domain connected, at the IP layer, hierarchically below a given domain of the number of domains forming the first tier must have an IP address

09723017.112700

comprising the first 16-bit piece of the one of the number of domains to which the given domain is connected; a second portion (not constrained to be 16 bits), i.e. a number of bits, are allocated by the one of the number of domains to the given domain to define a portion of address space delegated to the given domain. Subsequent bits, i.e. after the first and second pieces, are allocatable by the given domain. A benefit of the provider addressing scheme is that the size of the routing tables maintained by the number of domains forming the first tier, and other domains connected hierarchically below the first tier, is considerably reduced. The one or more pieces of the IP address inherited from the hierarchically higher domain by the given domain is known as a global prefix.

For a number of reasons, including expenditure control and integrity of routing, many domains are multi-homed. A multi-homed domain comprises more than one connection to domains disposed hierarchically above the multi-homed domain. For example, the first domain connected, at the IP layer, to the second domain can also be connected, at the IP layer, to a third domain also disposed hierarchically above the first domain. A consequence of multi-homing the first domain is that the first domain comprises two sets of IP addresses, a first set being inherited from the second domain and including a first prefix inherited from the second domain, and a second set being inherited from the third domain and including a second prefix inherited from the third domain.

At present, a first host associated with the multi-homed domain must select one of the two sets of IP addresses in ignorance of an actual state of the Internet; a fault condition arising in one of the connections associated with one of the two sets of IP addresses, can result in the first host becoming unreachable by a second host located elsewhere in the Internet. This inability to reach the hosts contradicts one of the major motivations for multi-homing.

As an example, the first host has a first IP address having a first global prefix inherited from the second domain, and a second IP address having a second global prefix inherited from the third domain. If the connection between the first domain and the second domain fails, the first IP address becomes unreachable.

09722017-112700

A subsequent communication initiated by the second host trying to communicate with the first host using the first address as a destination address will fail resulting in receipt of an Internet Control Message Protocol (ICMP) unreachable message. Upon receipt of the ICMP unreachable message, the second host can be expected to try to initiate the communication with the first host again using the second IP address corresponding to the connection between the first domain and the third domain. However, if the first host initiates the communication with the second host, local routing mechanisms within the first domain will deliver outbound packets to the second host via the connection between the first domain and the third domain. If the first host had selected the first IP address as a source address of the outbound packets as a result of the mechanism described in the IETF document entitled "Default Address Selection for IPv6" (draft-ietf-ipngwg-default-addr-select-01.txt, July 2000), the communication can not be established, because the second host will, in reply, use the first IP address to communicate with the first host. The reply will be routed via the second domain as a consequence of the prefix of the first IP address, but will be undeliverable to the first domain as a result of the above-mentioned fault condition. Additionally, the first host does not receive an indication as to a cause of the failure to establish the communication, even though the choice of the first host to use the first IP address is a contributory factor.

If the communication is established successfully and the connection between the first domain and the second domain fails during the communication, the communication will also fail and the first host will not receive an indication as to the cause of the failure. Hence, a viable strategy to recover the communication can not be formulated.

In the above examples, the failure of the communication is caused by the first host unwittingly specifying a valid, but unreachable, return route in the form of an IP address as a source address.

The IETF document entitled "IPv6 Multihoming with Route Aggregation" (<draft-ietf-ipngwg-ipv6multihome-with-aggr-01.txt>, J. Yu, August 2000) discloses that a solution used in IPv4 networks is incompatible with IPv6 route aggregation objectives, because this solution requires explicit exposure of the global prefix of the first domain to domains in the default free zone. "IPv6 Multihoming with Route Aggregation" discloses a new solution involving constraining deployment topologies of domains in order to avoid loss of aggregation in the default-free zone. Although the new solution can replace the traditional solution, the new solution requires a bilateral agreement between the second and third domains servicing the first domain; the new solution also leaves the host critically dependent upon one of the second and third domains.

Summary of the Invention

According to a first aspect of the present invention, there is provided a communications system comprising: a host operably connected to a first network entity having a first address space associated therewith, the first network entity being arranged to receive from a second network entity information relating to at least one address space, wherein the host is arranged to process the information relating to the at least one address space in order to ascertain an available return route for use by a communication in reply to an outgoing communication from the host.

Preferably, the information relating to the at least one address space is information relating to reachability of the at least one address space.

Preferably, the system further comprises a third network entity arranged to communicate information relating to an address space of the third network entity to the first network entity. More preferably, the first address space of the first network entity is inherited from the second network entity.

Preferably, the first network entity has a second address space associated therewith, the second address space of the first network entity being inherited

09723017.112700

from the third network entity. More preferably, the first network entity is arranged to communicate the second address space to any network entities disposed hierarchically below the first network entity

- 5 Preferably, the first network entity is arranged to communicate the first address space to any network entities disposed hierarchically below the first network entity.

- 10 According to a second aspect of the present invention, there is provided a host apparatus for operably coupling to a first network entity, the first network entity having a first address space associated therewith and arranged to receive from a second network entity information relating to at least one address space, the apparatus comprising: a processing unit arranged to process the information relating to the at least one address space in order to ascertain an available
- 15 return route for use by a communication in reply to an outgoing communication from the host.

Preferably, the first address space of the first network entity is a subset of the at least one address space.

20

Preferably, the first network entity has a second address space associated therewith, the second address space of the first network entity being inherited from a third network entity.

- 25 According to a third aspect of the present invention, there is provided a use for information relating to at least one address space received from a network entity by a host in order to ascertain an available return route for use by a communication in reply to an outgoing communication from the host.

- 30 According to a fourth aspect of the present invention, there is provided a method of ascertaining an available return route for a communication in reply to an outgoing communication from a host, the method comprising the steps of: communicating at least one address space from at least one network entity to

00723017.112700

the host; deducing the available return route from the at least one address space.

- 5 Preferably, the method further comprises the steps of: operably connecting the host to a first network entity having a first address space associated therewith, and the first network entity receiving from a second network entity the information relating to at least one address space.

- 10 Preferably, the method further comprises the steps of: providing a third network entity, and the third network entity communicating information relating to an address space of the third network entity to the first network entity.

- 15 Preferably, the first address space of the first network entity is inherited from the second network entity.

- 20 Preferably, the first network entity has a second address space associated therewith, the second address space of the first network entity being inherited from the third network entity.

- 25 Preferably, the method further comprises the step of: the first network entity communicating the first address space to any network entities disposed hierarchically below the first network entity.

- 30 Preferably, the method further comprises the step of: the first network entity communicating the second address space to any network entities disposed hierarchically below the first network entity.

- According to a fifth aspect of the present invention, there is provided computer executable software code stored on a computer readable medium, the code being for ascertaining an available return route for a communication in reply to an outgoing communication from a host, the code comprising: code to communicate at least one address space from at least one network entity to the

09723017.112700

host, and code to make the host deduce the available return route from the at least one address space.

According to a sixth aspect of the present invention, there is provided a
5 programmed computer for ascertaining an available return route for a
communication in reply to an outgoing communication from a host, comprising
memory having at least one region for storing computer executable program
code, and a processor for executing the program code stored in the memory,
wherein the program code includes: code to communicate at least one address
10 space from at least one network entity to the host, and code to make the host
deduce the available return route from the at least one address space.

According to a seventh aspect of the present invention, there is provided a
computer readable medium having computer executable software code stored
15 thereon, the code being for ascertaining an available return route for a
communication in reply to an outgoing communication from a host and
comprising: code to communicate at least one address space from at least one
network entity to the host, and code to make the host deduce the available
return route from the at least one address space.

20 It is thus possible to provide a communications system, apparatus and method
therefor that is entirely consistent with the IPv6 provider addressing scheme.
The invention further advantageously enables a domain to know when an
address becomes unreachable, thereby allowing the domain to select an IP
25 address corresponding to a valid return route for communications.

Brief Description of the Drawings

At least one embodiment of the present invention will now be described, by way
of example only, with reference to the accompanying drawings, in which:

30

Figure 1 is a schematic diagram of a communications system for use with a
first and a second embodiment of the present invention;

09723017.112700

Figure 2 is a schematic diagram of an Internet of Figure 1 in more detail;

Figure 3 is a flow diagram of a first method constituting the first embodiment of the present invention;

5

Figure 4 is a flow diagram of a second method constituting the second embodiment of the present invention, and

Figure 5 is a flow diagram of an address selection procedure for use with the embodiment of Figure 5.

10

Detailed Description of a Preferred Embodiment

Throughout the description, identical reference numerals are used to identify like parts.

15

A communications system 100 (Figure 1) comprises a network of computer networks, for example, the Internet 102 arranged to operate in accordance with a communications protocol, such as the IPv6. The Internet 102 comprises a first router 104 at a first topological point of attachment to the Internet 102, and a second router 106 at a second topological point of attachment to the Internet 102. The first router 104 is connected, at an IP layer, to a first host 108 via a first link 110. The first host 108 has a candidate address list for storing source addresses, (global) source addresses having prefixes inherited from domains within a default-free zone to which the first host 108 is connected, and hence correspond to routes having available return communication routes. The second router 106 is connected, at the IP layer, to a second host 112 via a second link 114.

20

25

Since the Internet 102, the first and second host routers 104, 106, and the first and second hosts 108, 112 are arranged to operate in accordance with the IPv6, an IPv6 addressing scheme is employed in the communications system 100.

30

An IPv6 address is a 128-bit address comprising eight 16-bit "pieces" commonly represented by hexadecimal digits separated by colons (":"), i.e. x:x:x:x:x:x:x:x, where x is a 16-bit piece of the IPv6 IP address. Each 16-bit piece does not need to contain any leading zeros, but each 16-bit piece must contain at least one numeral, except where addresses contain zero-bits. When the address contains zero-bits, a special syntax is used to compress the zeros. A double colon (::) is used to indicate the presence of multiple pieces of 16-bits of zeros and can be used to compress leading and/or trailing zeros in the IPv6 IP address. As an example, Table 1 below shows IPv6 IP addresses in both a long form and a shortened form, the shortened form employing the special syntax.

2073:0:0:0:5:400:102C:312F	2073::5:400:102C:312F
2B23:0:0:0:0:0:0:321	B23::321
0:0:0:0:0:0:F	::F
0:0:0:0:0:0:0	::

Table 1

Each IPv6 IP address space comprises a respective prefix specifying a contiguous set of addresses. The concept of the address prefix is core to routing technology and allows a single address prefix installed in a routing table to define an onward route for a set of addresses covered by the address prefix.

Text representation of the IPv6 IP address prefixes is in the form:

IPv6-address/prefix-length

The prefix-length is a decimal value specifying a number of left-most contiguous bits of the IPv6-address, the number of left-most contiguous bits being significant in defining the prefix. For example, in 23FF:0000:0000:EA21:0000:0000:0000:0000/16, only a first 16 bits are significant, and so can be written as 23FF::/16. Further details of the IPv6 IP addressing architecture is disclosed in "IP Version 6 Addressing Architecture", (IETF Network Working Group, RFC 2373).

Referring to Figure 2, the Internet 102 will now be described with reference to a simplified model of domains forming the Internet 102, for the purposes of clarity and ease of understanding. It should, of course, be appreciated that the Internet
5 102 can comprise a greater or fewer number of domains.

The Internet 102 comprises a first top level domain 200 connected, at the IP layer, to a second top level domain 202, the first and second top level domains constituting a default-free zone. The first top level domain 200 is connected, at
10 the IP layer, to a first next level domain 204, and the second top level domain 202 is connected, at the IP layer, to a second next level domain 206. Both the first and second next level domains 204, 206 are respectively connected, at the IP layer, to a third next level domain 208, i.e. the third next level domain 208 is multi-homed. The first host 108 is, for example a Personal Computer (PC), and
15 is connected, at the IP layer, to the third next level domain 208 via the first router 104. The first host 108 has a first IP address associated with a first route provided to the first top level domain 200, and a second IP address associated with a second route provided to the second top level domain 202. The first IP address is part of a first address space of the third next level domain 208, the
20 first address space of the third next level domain 208 being a subset of an address space of the first next level domain 204, and is inherited therefrom. The address space of the first next level domain 204 is a subset of an address space of the first top level domain 200 and is inherited therefrom. Similarly, the second IP address is part of a second address space of the third next level domain 208,
25 the second address space of the third next level domain 208 being a subset of an address space of the second next level domain 206 and is inherited therefrom. The address space of second next level domain 206 is a subset of an address space of the second top level domain 202 and is inherited therefrom.

30 The second host 112 is, for example a web content server, and is connected, at the IP layer, to the second next level domain 206 via the second router 106.

09723017.112700

Each of the first and second top level domains 200, 202 respectively comprise a first and second set of border routers 210, 212 respectively interconnected by a first and second set of internal routers (not shown). The first and second set of border routers 210, 212 operate in accordance with a Border Gateway Protocol (BGP). The first and second set of internal routers operate in accordance with an Internal Gateway Protocol (IGP). Similarly, the first, second and third next level domains 204, 206, 208 respectively comprise a third, fourth and fifth set of border routers 214, 216, 218 respectively interconnected by a third, fourth and fifth set of internal routers (not shown). The third, fourth and fifth set of border routers 214, 216, 218 operate in accordance with the BGP, and the third, fourth and fifth set of internal routers operate in accordance with the IGP.

The first and second top level domains 200, 202 constitute respective first and second top level aggregators. Similarly, the first and second next level domains 204, 206 constitute respective first and second next level aggregators; the third next level domain 208 constitutes a third level aggregator. In this example, the above-mentioned domains are network entities, such as autonomous systems.

Each of the first and second top level domains 200, 202 and the first, second and third next level domains 204, 206, 208 are capable of supporting host auto-configuration mechanisms as described in "IPv6 Stateless Address Auto-configuration" by F. Thomson and T. Narten (IETF, RFC 2462, December 1998). Additionally, in order to identify a correct source address for communicating datagrams, such as IP packets, from the first host 108 to the second host 112, the first host 108 employs a modified address selection procedure, the modified address selection procedure being based upon the address selection procedure described in "Default Address Selection for IPv6" by R. Draves (<draft-ietf-ipngwg-default-addr-select-01.txt>, July 2000).

In a first embodiment of the invention, the communications system 100 operates as follows.

09723017.1.12700

The first top level domain 200 and the second top level domain 202 each, respectively, injects a first top level aggregator prefix T1::/16 and a second top level aggregator prefix T2::/16 to the first next level domain 204 and the second next level domain 206. The injection of the first top level aggregator prefix
5 T1::/16 and the second top level aggregator prefix T2::/16 is achieved using the BGP.

Referring to Figure 3, a first border router of the third set of border routers 214 and a first border router of the fourth set of border routers 216 receive (step 300)
10 the first top level aggregator prefix T1::/16 and the second top level aggregator prefix T2::/16, respectively. The first border router of the third set of border routers 214 then propagates (step 302) the first top level aggregator prefix T1::/16 to other border routers in the third set of border routers by injection of the first top level aggregator prefix T1::/16 into the BGP of the first next level domain
15 204. Similarly, the first border router of the fourth set of border routers 216 propagates (step 302) the second top level aggregator prefix T2::/16 to other border routers in the fourth set of border routers by injection of the second top level aggregated prefix T2::/16 into the BGP of the second next level domain 206.

20 The first border router of the third set of border routers also injects (step 304) the first top level aggregator prefix T1::/16 to the third set of internal routers. Similarly, the first border router of the fourth set of border routers injects (step 304) the second top level aggregator prefix T2::/16 into the IGP in order to
25 disseminate the top level aggregator prefix T2::/16 to the fourth set of internal routers. A first lifetime and a second lifetime is then administratively determined, in accordance with any suitable technique known in the art, and assigned (step 306) to the first top level aggregator prefix T1::/16 and the second top level aggregator prefix T2::/16, respectively by all of the third and fourth set of internal
30 routers 214, 216.

Using the host auto-configuration mechanism mentioned above, the second next level domain 206 communicates (step 308) the second top level aggregator

09723017.112700

prefix T2::/16 to the second host 112. The second top level aggregator prefix T2::/16 is also communicated to any other hosts connected to routers of the second next level domain 206. Similarly, the first next level domain 204 uses the host auto-configuration mechanism mentioned above to communicate (step 308) the first top level aggregator prefix T1::/16 to any hosts connected to routers of the first next level domain 204.

The injection of the first and second top level aggregator prefixes T1::/16, T2::/16 into the BGP also results in border routers in the third and fourth sets of border routers respectively forwarding (step 302) the first top level aggregator prefix T1::/16 and the second top level aggregator prefix T2::/16 to the third next level domain 208. In general, the dissemination of these prefixes by border routers is to border routers of other domains disposed hierarchically beneath a domain comprising the disseminating border routers.

A first border router and a second border router of the fifth set of border routers in the third next level domain 208 similarly receive (step 300) the first top level aggregator prefix T1::/16 and the second top level aggregator prefix T2::/16 from the first next level domain 204 and the second next level domain 206, respectively. If both the first top level aggregator prefix T1::/16 and the second top level aggregator prefix T2::/16 are received, the first border router and the second border router of the fifth set of border routers 218 respectively inject (step 302) the first and second top level aggregator prefixes T1::/16, T2::/16 into the BGP of the third next level domain 208 in order to communicate the first and second top level aggregator prefixes T1::/16, T2::/16 to other border routers in the fifth set of border routers 218. The first border router and the second border router of the fifth set of border routers 218 also respectively inject (step 304) the first and second top level aggregator prefixes T1::/16, T2::/16 into the IGP of the third next level domain 208, thereby communicating the first and second top level aggregator prefixes T1::/16, T2::/16 to the fifth set of internal routers. A first lifetime and a second lifetime are then administratively determined, in accordance with any suitable technique known in the art, and respectively assigned (step 306) to the first and second top level aggregator prefixes T1::/16,

09723017-112700

- T2::/16. The router 104 then assigns (step 308) a first IP address corresponding to the first top level aggregator prefix T1::/16 and the associated first lifetime and a second IP address corresponding to the second top level aggregator prefix T2::/16 and the second associated lifetime to the first host 108 using the Host-
5 Auto configuration Protocol. If at any time, one of the first or second top level aggregator prefixes T1::/16, T2::/16 are not received by the third next level domain 208, for example if the path between the second top level domain 202 and the second next level domain 206 becomes faulted and is communicated as withdrawn by an BGP Update message, the fifth set of internal routers set the
10 second lifetime associated with the second top level domain prefix T2::/16 to zero, signifying that the second route associated with the second top level domain 202 has been withdrawn. Upon receipt of the BGP Update message, withdrawal of the second route is injected into the IGP for communication to the fifth set of internal routers. The path between the second top level domain 202
15 and the second next level domain 206 can become withdrawn for a number of reasons, for example, a hardware failure at either of the second top level domain 202 or the second next level domain 206, or a fibre break severing the link therebetween.
- 20 Since the third next level domain 208 is not connected to any other domains hierarchically beneath the third next level domain 208, the first and/or second top level aggregator prefixes T1::/16, T2::/16 are not forwarded by any of the fifth set of border routers.
- 25 Under fault-free circumstances, the first host 108 can use the second source address associated with the second top level domain 202 in order to communicate with the second host 112. However, if the path between the second level next level domain 206 and the third next level domain 208 is withdrawn, the information provided by the third level domain 208, enables the
30 first host 108 to decide, if necessary, to use the first source address associated with the first top level domain 200 instead of the second source IP address associated with the second top level domain 202, thereby ensuring that an

09723017.112700

available return route exists for the second host 112 to use when sending datagrams to the first host 108.

In a second embodiment of the invention, any given domain is arranged to
5 advertise a domain prefix associated with the any given domain downwards to
domains disposed hierarchically below the any given domain. Additionally, the
any given domain is arranged to receive, where appropriate, address prefixes
from a domain disposed hierarchically above the any given domain, and
forwards the address prefixes received to domains disposed hierarchically below
10 the any given domain.

In a further embodiment of the invention, the any given domain, where
applicable, forwards a hierarchically highest, i.e. shortest, of the domain prefixes
received downwards to the domains disposed hierarchically below the any given
15 domain, instead of all prefixes received.

Referring to Figure 4, in the second embodiment, the first and second top level
domains 200, 202 are arranged to inject, i.e. communicate (step 406 and 408),
downwards to the first next level domain 204 and the second next level domain
20 206, the first top level aggregator prefix T1::/16 and the second top level
aggregator prefix T2::/16, respectively. Since the first and second top level
domains 200, 202 do not have domains disposed hierarchically thereabove, the
first and second top level domains 200, 202 only advertise the first and second
top level aggregator prefixes T1::/16, T2::/16 downwards respectively.

25 The first border router of the third set of border routers 214 of the first next level
domain 204 receives (step 400) the first top level aggregator prefix T1::/16 from
the first top level domain 200.

30 The first border router of the third set of border routers 214 then injects (step
402) the first top level aggregator prefix T1::/16 received into the BGP of the first
next level domain 204 in order to disseminate the first top level aggregator prefix
T1::/16 to the other border routers of the third set of border routers. The first

09723017-112700

border router of the third set of border routers also injects (step 404) the first top level aggregator prefix $T1::/16$ into the IGP of the first next level domain 204 in order to disseminate the first top level aggregator prefix $T1::/16$ to the third set of internal routers.

5

The third set of border routers of the first next level domain 204, which provide connectivity to domains disposed hierarchically below the first next level domain 204 also inject (step 406) a first next level prefix $T1:N1::/16+n1$ to the third next level domain 208, where $n1$ is the length of the piece of the first next level aggregator prefix corresponding to the identity of the first next level domain 204.

10

The first top level aggregator prefix $T1::/16$ and the first next level aggregator prefix $T1:N1::/16+n1$ injected into the BGP of the first next level domain 204 are forwarded (step 408) by the other border routers of the third set of border routers 214 to border routers of any domains disposed hierarchically below the first next level domain 204, in this example, the third next level domain 208.

15

A first lifetime is administratively determined, in accordance with any suitable technique known in the art, and assigned (step 410) to the first top level aggregator prefix $T1::/16$. Any internal routers of the first next level domain 204 connected to hosts advertises (step 412) IP addresses to the hosts using the host autoconfiguration protocol.

20

The second next level domain 206 operates in an analogous manner to the first next level domain 204. However, instead of operating with the first top level aggregator prefix $T1::/16$ and the first next level aggregator prefix $T1:N1::/16+n1$, the second next level domain 206 operates with the second top level aggregator prefix $T2::/16$, and a second next level aggregator prefix $T2:N2::/16+n2$ associated with the second next level domain 206, where $n2$ is the length of the piece of the second next level aggregator prefix corresponding to the identity of the second next level domain 206. If, as is the case with the second next level domain 206, a host, for example the second host 112, is connected to the second next level domain 206, the second router 106 assigns

25

30

- (step 410) a lifetime, previously determined in accordance with any known administrative technique, to a prefix used to form an IP address (hereinafter referred to as a "host address") inherited from the second top level domain 202 and assigns (step 412) the host address and the lifetime associated therewith to the second host 112 using the host autoconfiguration protocol. The second next level domain 206 (and the first next level domain 204) each then recommence the process of advertising and forwarding prefixes (steps 400 to 412).

- The first border router of the fifth set of border routers 218 receives (step 400) the first top level aggregator prefix $T1::/16$ forwarded by the first next level domain 204, and the first next level aggregator prefix $T1:N1::/16+n1$ advertised by the first next level domain 204. Similarly, the second border router of the fifth set of border routers 218 receives (step 400) the second top level aggregator prefix $T2::/16$ forwarded by the second next level domain 206, and the second next level aggregator prefix $T2:N2::/16+n2$ advertised by the second next level domain 206. The first and second border routers of the fifth set of border routers 218 then inject (step 402) the first and second top level aggregator prefixes $T1::/16$, $T2::/16$ and the first and second next level aggregator prefixes $T1:N1::/16+n1$, $T2:N2::/16+n2$ into the BGP in order to disseminate the prefixes to the other border routers of the fifth set of border routers 218. Additionally, the first and second border routers of the fifth set of border routers 218 inject (step 404) the prefixes into the IGP of the third domain 208 in order to disseminate the prefixes to the fifth set of internal routers.
- In relation to third next level aggregator prefixes ($T1:N1:N3::/16+n1+n3$, or $T2:N2:N3::/16+n2+n3$), the first and second top level aggregator prefixes $T1::/16$, $T2::/16$ and the first and second next level aggregator prefixes $T1:N1::/16+n1$, $T2:N2::/16+n2$, these prefixes are not advertised/forwarded (step 408) by the third next level domain 208, because there are no domains connected hierarchically below the third next level domain 208. Consequently, the third next level domain 208 simply needs to process the prefixes received.

09723017-112700

At the third next level domain 208, if both the first top level aggregator prefix $T1::/16$ and the first next level aggregator prefix $T1:N1::/16+n1$ are both received (step 402), the third next level domain 208 identifies the highest, hierarchically, of the first top level aggregator prefix $T1::/16$ and the first next level aggregator prefix $T1:N1::/16+n1$. Clearly, the first top level aggregator prefix $T1::/16$ is hierarchically the highest and so a first return communications route exists from the third next level domain 208 up to the first top level domain 200.

Additionally, if the third next level domain 208 receives (step 400) the second top level aggregator prefix $T2::/16$ and the second next level aggregator prefix $T2:N2::/16+n2$, the third next level domain 208 identifies the highest, hierarchically, of the second top level aggregator prefix $T2::/16$ and the second next level aggregator prefix $T2:N2::/16+N2$. Clearly, the second top level aggregator prefix $T2::/16$ is hierarchically the highest and so a second return communications route exists from the third next level domain 208 up to the second top level domain 202.

The provision of address prefixes with associated lifetimes enables the first host 108 to identify whether a given route associated with a given top level domain has become unavailable.

If the path between the second top level domain 202 and the second next level domain 206 becomes withdrawn, the second next level domain 206 will not receive the second top level aggregator prefix $T2::/16$ from the second top level domain 202. Consequently, if the third next level domain 208 were connected to a fourth next level domain (not shown) hierarchically below the third next level domain 208, the third next level domain 208 would only be in possession of the first top level aggregator prefix $T1::/16$, the first next level aggregator prefix $T1:N1::/16+n1$ and the second next level aggregator prefix $T2:N2::/16+n2$ and so only these prefixes and $T1:N1:N3::/16+n1+n3$ (corresponding to the third next level domain via the first route), and $T2:N2:N3::/16+n2+n3$ (corresponding to the third next level domain via the second route) would be transmitted (steps 402, 406 and 408) to the fourth next level domain. Upon receipt of the prefixes, the

09723017-112700

fourth next level domain would be able to ascertain that the first route exists all the way up to the first top level domain 200, but the second route all the way up to the second top level domain 202 is not possible, the second route up to the second top level domain 202 being possible only as far as the second next level domain 206.

Once route availability has been established, for example, by the third next level domain 208, the host autoconfiguration protocol is used by routers connected to hosts, for example the first router 104, to assign (step 410) a first lifetime to the first route and a second lifetime to the second route, the shortest prefixes known to the first router 104 being communicated to the first host 108.

The first router 104 possesses the following prefixes:

T1::/16,
T1:N1::/16+n1, and
T2:N2::/16+n2.

Therefore, the first host communicates the first top level aggregator prefix T1::/16 and the second next level aggregator prefix T2:N2::/16+n2 to the first host 108. Hence, the first host 108 can execute an address selection procedure (Figure 5) in order to ensure that an unreachable host address associated with the first host 108, for example an IP address requiring the second route beyond the second next level domain 206, is not selected by the first host 108.

In order to better understand the route selection procedure, the procedure will now be described in relation to the first host 108 preparing to send a packet to the second host 112 having a host address that is used as a destination address for the packet, the host address being derived from the second top level domain 202, i.e. the first 16+n2 bits of the host address is T2:N2.

The first host 108 executes a loop for each faulted route implied by the set of addresses with which the first host 108 is configured. A candidate destination

address of the packet is compared (step 500) with a shortest aggregator prefix received on the each faulted route in order to identify (step 502) a match between the shortest aggregator prefix received and an equivalent portion of the candidate destination address. If no match between the shortest aggregator prefix received and the candidate destination address is found, the source address corresponding to the each faulted route is removed (step 504) from the candidate address list. If, however, the match between the shortest aggregator prefix received and the candidate destination address is found, the existence of the match is indicative of the destination address being located below the fault and the source address corresponds to this route is maintained (step 506) in the candidate address list. Hence, in this example, the first and second source addresses are maintained in the candidate address list. If, however, the second host 112 is connected. at the IP layer, to the second top level domain 202 instead of the second next level domain 206 and the path between the second top level domain 202 and the second next level domain 206 is withdrawn, the prefix of the destination address would not match the shortest aggregator prefix of the faulted route received and so the second source address would be removed from the candidate address list as no return path exists between the first and second hosts 108. 112 via the second route.

The source addresses remaining in the candidate address list correspond to source addresses that can be used by the second host 112 to communicate with the first host 108. The first host 108 then selects one of, if any, source addresses from the candidate address list in accordance with any predetermined selection criteria, for example, policy. Upon receipt of the datagram containing the source address, the second host 112 can use the source address received as a destination address for a datagram in reply to the datagram received with confidence that the return route for the first host 108 is available.

30

Although the above examples have been described in the context of the third next level domain 208 being dual-homed, it should be appreciated that the above described system, apparatus and method is equally applicable to multi-

homed domains. Additionally, although three tiers of domains have been described above, it should be understood that the a greater or fewer levels of domains can be provided.

- 5 Alternative embodiments of the invention can be implemented as a computer program product for use with a computer system, the computer program product being, for example, a series of computer instructions stored on a tangible data recording medium, such as a diskette, CD-ROM, ROM, or fixed disk, or embodied in a computer data signal, the signal being transmitted over a tangible
- 10 medium or a wireless medium, for example microwave or infrared. The series of computer instructions can constitute all or part of the functionality described above, and can also be stored in any memory device, volatile or non-volatile, such as semiconductor, magnetic, optical or other memory device.

09723017, 112700

Claims

1. A communications system comprising:

5 a host operably connected to a first network entity having a first address space associated therewith, the first network entity being arranged to receive from a second network entity information relating to at least one address space. wherein

10 the host is arranged to process the information relating to the at least one address space in order to ascertain an available return route for use by a communication in reply to an outgoing communication from the host.

2. A system as claimed in Claim 1, further comprising a third network entity arranged to communicate information relating to an address space of the third network entity to the first network entity.

15 3. A system as claimed in Claim 1, wherein the first address space of the first network entity is inherited from the second network entity.

20 4. A system as claimed in Claim 2, wherein the first network entity has a second address space associated therewith, the second address space of the first network entity being inherited from the third network entity.

25 5. A system as claimed in Claim 1, wherein the first network entity is arranged to communicate the first address space to any network entities disposed hierarchically below the first network entity.

30 6. A system as claimed in Claim 4, wherein the first network entity is arranged to communicate the second address space to any network entities disposed hierarchically below the first network entity.

7. A host apparatus for operably coupling to a first network entity, the first network entity having a first address space associated therewith and arranged to

09723017.112700

receive from a second network entity information relating to at least one address space, the apparatus comprising:

- 5 a processing unit arranged to process the information relating to the at least one address space in order to ascertain an available return route for use by a communication in reply to an outgoing communication from the host.

8. An apparatus as claimed in Claim 7, wherein the first address space of the first network entity is a subset of the at least one address space.

- 10 9. An apparatus as claimed in Claim 7, wherein the first network entity has a second address space associated therewith, the second address space of the first network entity being inherited from a third network entity.

- 15 10. A use for information relating to at least one address space received from a network entity by a host in order to ascertain an available return route for use by a communication in reply to an outgoing communication from the host.

- 20 11. A method of ascertaining an available return route for a communication in reply to an outgoing communication from a host, the method comprising the steps of:

communicating at least one address space from at least one network entity to the host;

deducing the available return route from the at least one address space.

- 25 12. A method as claimed in Claim 11, further comprising the steps of: operably connecting the host to a first network entity having a first address space associated therewith, and

the first network entity receiving from a second network entity the information relating to at least one address space.

30

13. A method as claimed in Claim 12, further comprising the steps of: providing a third network entity, and

09723017.112700

the third network entity communicating information relating to an address space of the third network entity to the first network entity.

14. A method as claimed in Claim 12, wherein the first address space of the first network entity is inherited from the second network entity.

15. A method as claimed in Claim 13, wherein the first network entity has a second address space associated therewith, the second address space of the first network entity being inherited from the third network entity.

16. A method as claimed in Claim 12, further comprising the step of:
the first network entity communicating the first address space to any network entities disposed hierarchically below the first network entity.

17. A method as claimed in Claim 15, further comprising the step of:
the first network entity communicating the second address space to any network entities disposed hierarchically below the first network entity.

18. Computer executable software code stored on a computer readable medium, the code being for ascertaining an available return route for a communication in reply to an outgoing communication from a host, the code comprising:

- code to communicate at least one address space from at least one network entity to the host, and
code to enable the host deduce the available return route from the at least one address space.

19. A programmed computer for ascertaining an available return route for a communication in reply to an outgoing communication from a host, comprising memory having at least one region for storing computer executable program code, and

a processor for executing the program code stored in the memory, wherein the program code includes:

09723017.112700

code to communicate at least one address space from at least one network entity to the host, and

code to enable the host deduce the available return route from the at least one address space.

5

20. A computer readable medium having computer executable software code stored thereon, the code being for ascertaining an available return route for a communication in reply to an outgoing communication from a host and comprising:

10 code to communicate at least one address space from at least one network entity to the host, and

code to enable the host deduce the available return route from the at least one address space.

15

09723017.112700

COMMUNICATIONS SYSTEM, APPARATUS AND METHOD THEREFOR

5

Abstract of the Disclosure

10 In IPv6, a multi-homed domain comprises more than one connection to domains disposed hierarchically above the multi-homed domain. Consequently, more than one set of IP addresses exists corresponding to the more than one connection between the multi-homed domain and the hierarchically higher domains, respectively. At present, a first host associated with the multi-homed domain must choose between one of the more than one set of IP addresses in ignorance of the existence of a fault condition arising in connection with one of the sets of IP addresses, resulting in the first host becoming unreachable by a

15 second host located elsewhere in the Internet. The present invention therefore provides a system, method and apparatus whereby a given domain transmits an address prefix to domains disposed hierarchically below the given domain and forward address prefixes received from domains disposed hierarchically above the given domain to domains disposed hierarchically below the given domain.

20

(Figure 2)

09723017-112700

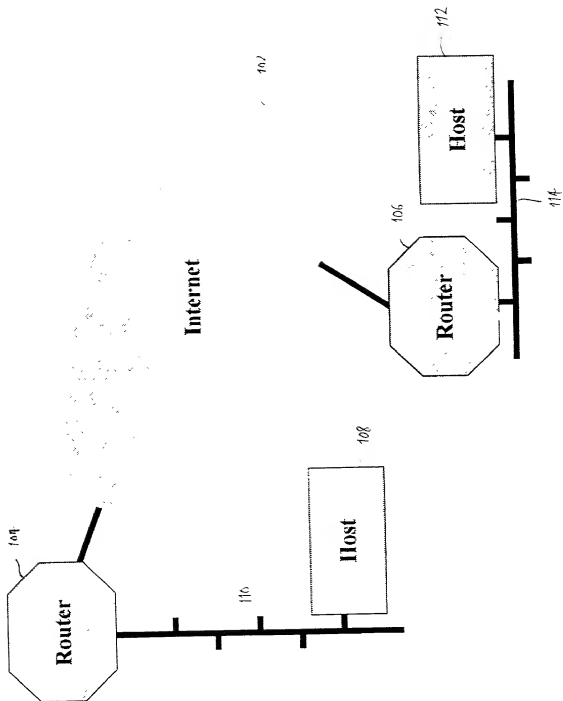
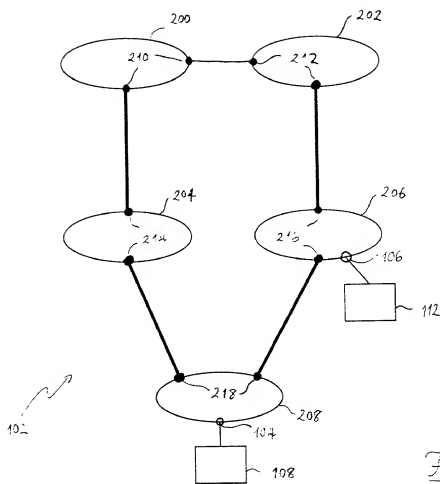


Figure 1

Figure 2

3/5

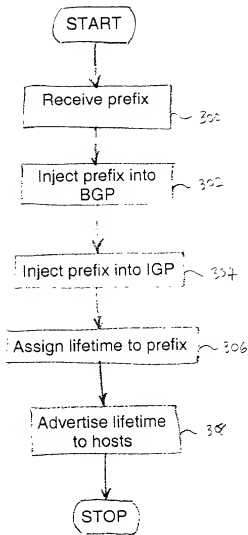
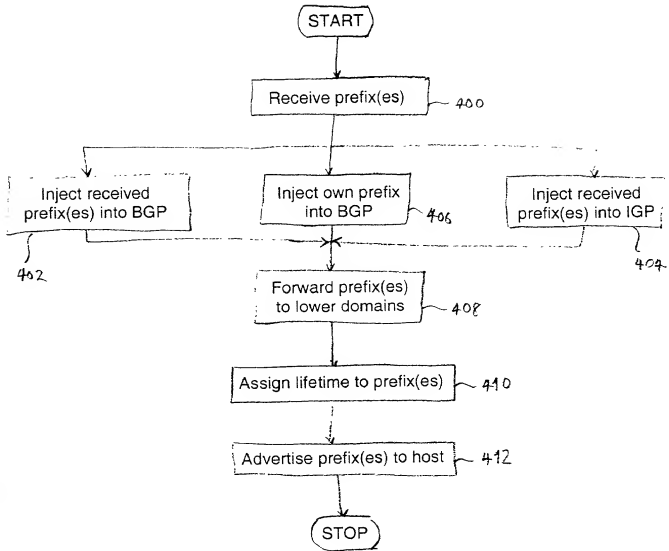


Figure 3

09723017.112700

4/5

Figure 4

5/5

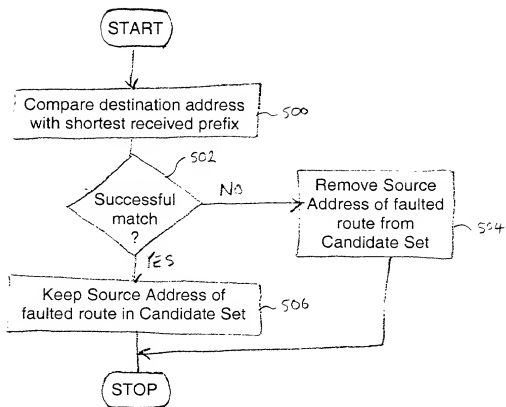


Figure 5

09723017 112700